



City of Cortland
City Hall
25 Court Street, Cortland, NY 13045
Mayor Brian Tobin
Telephone – (607) 758-8374 Fax – (607) 756-4644
Mayor@cortland.org www.cortland.org

PROCLAMATION

- WHEREAS,** in the United States, one person dies by suicide every 13.3 minutes, with 41,149 deaths by suicide in our country during 2013; and
- WHEREAS;** in our country, suicide is the 2nd leading cause of death for 15 to 34 year olds, and the 3rd leading cause of death for ages 10-14 year olds. In 2014 the Centers for Disease Control and Prevention reported that Suicide is the 10th leading cause of death in the United States in that life span; and
- WHEREAS;** In Cortland County alone, 18% of middle school kids and 17% of high school kids have reported they have seriously considered suicide; and
- WHEREAS;** in 2013, 1,637 New Yorkers died by suicide, and several thousand friends and family members were changed forever by losing those people;
- WHEREAS;** Cortland County is developing a Suicide Prevention Task Force to coordinate and strengthen efforts in the community and to address the local needs and barriers for suicide prevention; and
- WHEREAS;** the Wishing Wellness Center and the Mental Health Association of Cortland County is hosting the Suicide Prevention Walk to raise awareness in our community to include more resources, family friendly entertainment and light refreshments while raising awareness of this critical problem;
- THEREFORE,** be it resolved that I, Brian Tobin, Mayor of Cortland, by virtue of the authority vested in me by the Constitution and laws of Cortland and New York do hereby proclaim September 6th through September 12th, 2014 as *“Suicide Prevention Week”* in the State of New York and urge New Yorkers to learn how they can help because ***Suicide Prevention is Everyone’s Business.***

IN WITNESS WHEREOF, I have hereunto set my hand this 1st day of September, in the year of two thousand fifteen, and of the Cortland New York.

Brian Tobin, Mayor of Cortland, New York

Rain or Shine

FREE

Live Music & Light Refreshments

Suicide Prevention Walk And Resource Fair



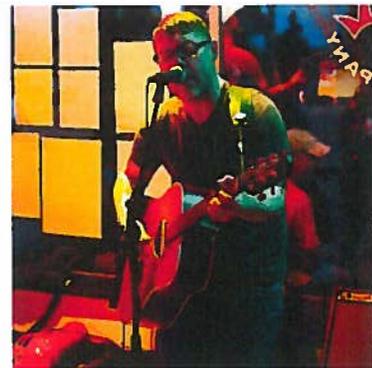
**THURSDAY SEPTEMBER 10
COUNTY OFFICE BUILDING GYM
60 CENTRAL AVE CORTLAND NY
4PM TO 6PM
THE WALK BEGINS + ENDS AT THE GYM**

FACT:

18% of middle school kids and
17% of high school kids in
Cortland County reported
seriously considering suicide *

*2013 CACTC Youth Suicide question responses

**LIVE MUSIC BY CLINT BUSH
DIRECTLY AFTER THE WALK**



Sponsored

by:



For more information contact Shelley at ssmith@ccocc.org or (607) 423-7472

FORM OF BID

SWIMMING POOL RENOVATIONS - WICKWIRE POOL

Date: 8/5/15

Proposal of WILLIAM L. WATSON Co. Inc (hereinafter called "Bidder")
organized and executed under the laws of the State of NEW YORK
doing business as WILLIAM L. WATSON Co. Inc

To The City of Cortland, Cortland, New York (herein after called "Owner").

Gentlemen:

The Bidder, in compliance with your invitation for bids for the Swimming Pool Renovations Wickwire Swimming Pool having examined the plans and specifications with related documents and the site of the proposed work, and being familiar with all of the conditions surrounding the construction of the proposed project including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the project in accordance with the Contract Documents; within the time set forth therein, and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the Contract Documents, of which this proposal is part.

Bidder hereby agrees to commence work within 10 calendar days of notice to proceed and complete the work by May 30, 2016. Bidder further agrees to pay as liquidated damages, the sum of \$50 for each consecutive calendar day thereafter as hereinafter provided in Paragraph 6 of the Information for Bidders.

Bidders acknowledges receipt of the following addenda:

NONE

Contractor's Name WILLIAM L. WATSON Co. Inc

Address 8064 N. MAIN ST., EDEN, N.Y. 14057

PROPOSAL

The bidder agrees to perform all work in the specifications and as shown in the plans at the following lump sum price inclusive. The Bidder agrees to furnish all insurances, labor, equipment, and material. If awarded the bid, the Bidder agrees to submit a schedule for partial payments with a breakdown of items and percentages.

LUMP SUM PRICE BID - SPECIFIED BASIC BID ITEMS

\$ 989,000.⁰⁰ (Figure) NINE HUNDRED EIGHTY NINE THOUSAND DOLLARS (words)

ALTERNATE NO. 1

EZ Set II Starting Platform, Paddock Model 4909 or approved equal, Six (6) required.

\$ 10,400.⁰⁰ (Figure) TEN THOUSAND FOUR HUNDRED DOLLARS (words)

ALTERNATE NO. 2

Competitor 4" Diameter Racing Lines for 25 Yard Course, Competitor Model 200330000 or approved equal, Six (6) required.

\$ 4,000.⁰⁰ (Figure) FOUR THOUSAND DOLLARS (words)

The Bidder understands that the Owner reserves the right to reject any or all bids and to waive any informalities.

The Bidder agrees that his bid shall be valid for a period of 45 calendar days after the scheduled closing time for receiving bids. Upon receipt of written notice of acceptance of this bid, the bidder will, within 10 days, deliver a surety bond or bonds as required by Article 5 of the Additional General Conditions.

The bid security attached in the sum of 5% OF TOTAL BID
Dollars \$ 5% OF TOTAL BID

is to become the property of the Owner in the event that contract and bond are not executed within the time set forth, as liquidated damages for the delay and additional expense to the Owner caused thereby.

Respectfully submitted:

By David A. Friedrich

Title PRESIDENT

8064 N. MAIN ST, EDEN, N.Y. 14057
(Business Address)

Attachment

FB-3

NON-COLLUSIVE BIDDING CERTIFICATE

PURSUANT TO GENERAL MUNICIPAL LAW, SECTION 103 - d

State of NEW YORK)
County of ERIE)

, being duly sworn deposes and says:

(a) By submission of this bid, each Bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best knowledge and belief:

- (1) The prices in this Bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any mater relating to such prices with any other bidder or with any competitor.
- (2) Unless otherwise required by law, the prices which have been quoted in this Bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
- (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

(b) That attached hereto (if a corporate bidder) is a certified copy of resolution authorizing the execution of this certificate by the signatory of this bid, or proposal, in behalf of the corporate bidder.

I, hereby affirm under the penalties of perjury that foregoing statement is true.

Individual Bidder

Co-Partnership

By _____

Partner

(SEAL)

WILLIAM L. WATSON Co Inc
Corporation

By _____

David A. Friedl
President

Sworn to before me this
5th day of August, 2009

Sandra K. Williams
Notary Public

SANDRA K. WILLIAMS NO. 01LE6057195
Notary Public, State of New York
Qualified in Erie County
Commission Expires April 9, 2019

STATE OF NEW YORK)
COUNTY OF Erie) SS:

On this 5th day of August, 2009, before me personally
came David A. Friedrich, to me known and known to me to be the
person who executed the foregoing instrument, and he duly acknowledged to me that he
executed the same.

Sandra K. Williams
Notary Public

SANDRA K. WILLIAMS NO. 01LE6057195
Notary Public, State of New York
Qualified in Erie County
Commission Expires April 9, 2019

william l. watson CO, INC.

8064 NORTH MAIN STREET • EDEN, NY 14057 • 716-992-3220 • FAX: 992-3862
EMAIL: wlwatsonpools@verizon.net

August 5, 2015

To Whom It May Concern:

At the board of Directors meeting held on August 4th, 2015, David Friedrich was authorized to sign the bid documents for Wickwire Pool Renovations.

Very truly yours,

WILLIAM L. WATSON CO., INC.



Jan H. Friedrich, Secretary



SANDRA K. WILLIAMS NO. 01LE6057195
Notary Public, State of New York
Qualified in Erie County
Commission Expires April 9, 20 19

WICKWIRE POOL RENOVATION - CORTLAND, NY
SPECIALTY CONSTRUCTION

STATEMENT OF SWIMMING POOL CONTRACTOR'S QUALIFICATIONS

All questions must be answered to the best of your ability and the data given must be clear and comprehensive. This statement must be notarized. If necessary, questions may be answered on separate attached sheets. The bidder may submit any additional information desired.

1.1 Name of Contractor: WILLIAM L. WATSON Co. Inc

1.2 Permanent main office address: 8064 N. MAIN ST., EDEN, N.Y. 14057

1.3 When organized: 1964

1.4 If a corporation, where incorporated: NEW YORK

1.5 How many years have you been engaged in the contracting business under your present firm or trade name: 51

1.6 Contracts on hand: List contracts showing amount of each contract and the appropriate anticipated dates of completion:

CATHERAL PREP	1,335,840	1/2016
N. COLONIE CSD	130,800	8/2015
UB - CLARK HALL	386,668	9/2015
AUDON CSD	664,140	3/2016
ROCHESTER JCC	1,200,000	6/2016
WEEDSPORT CSD	481,000	12/2015
ERIE COURTYARD	453,750	4/2016

(show additional contracts on separate sheet)

1.7 General character of work performed by your company:

ANYTHING TO DO WITH SWIMMING POOL
CONSTRUCTION, RENOVATION AND SERVICING

1.8 Have you ever failed to complete any work awarded to you: No. If so, where and why:

1.9 Have you ever defaulted on a contract: No. If so, where and why:

1.10 In accordance with the requirements of the specifications list the number of completed swimming pool installations of (cast-in-place/dry gunite/shotcrete) pool construction projects utilizing stainless steel gutters. This list is for projects completed under your present firm or trade name with your own personnel and equipment as stipulated. However, any projects that display your personal experience in swimming pool construction may be listed as long as the said project is clearly identified by you as NOT one of your companies contracted projects. You should indicate the scope of work and your level of responsibility for each project.

Job Name, Contact Reference, Pool Surface Area, and Date Completed.

1. SEE ATTACHED LIST OF
2. PROJECTS AND LIST OF
3. EMPLOYEES
4. _____
5. _____
6. _____
7. _____
8. _____
9. _____
10. _____

1.11 List your major equipment available for this contract:

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INSTITUTIONAL AND COMMERCIAL POOLS CONSTRUCTED BY THE WILLIAM L. WATSON CO., INC.

Gateway Childrens Home	Williamsville, N.Y.	25' x 50'	1962
East Rochester High School	East Rochester, N.Y.	45' x 75'	1962
Wurlitzer Park Pool	N. Tonawanda, N.Y.	35' x 75'	1962
Century Club	Niagara Falls, N.Y.	25' x 50'	1963
Amherst Recreation Center	Amherst, N.Y.	8925 sq. ft.	1963
Borough Of Girard	Wells Park, Pa	42' x 75'	1964
Town Of Aurora	East Aurora, N.Y.	5800 sq. ft.	1965
Briarwood Swim Club	Lockport, N.Y.	4300 sq. ft.	1965
Shoshone Park	Buffalo, N.Y.	16050 sq. ft.	1966
Village Of Hamburg	Hamburg, N.Y.	5730 sq. ft.	1966
Village Of Depew	Depew, N.Y.	4525 & 5000 sq.ft.	1967
Panama Central School	Panama, N.Y.	36' x 75'	1967
Brocton Central School	Brocton, N.Y.	45' x 75'	1967
Centennial Park	Buffalo, N.Y.	75'x150'sq. ft.	1967
Union East Elem. School	Cheektowaga, N.Y.	28' x 56'	1967
Dunkirk High School	Dunkirk, N.Y.	5700 sq. ft.	1967
Alexander Central School	Alexander, N.Y.	36' x 75'	1968
Town Of Brant	Brant, N.Y.	35' x 75'	1968
Allison Park	Buffalo, N.Y.	6248 sq.ft.	1968
Town Of Clarence	Clarence, N.Y.	5600 sq. ft.	1968
Hampton High School	Allison Park, PA	45' x 75'	1968
Grace House	Alden, N.Y.	25' x 40'	1968
North East High School	Northeast, PA	42' x 75'	1968
Kensington YMCA	Buffalo, N.Y.	25' x 75'	1968
Kane Senior High School	Kane, PA	45' x 75'	1969
Southtown YMCA	Orchard Park, N.Y.	25' x 50'	1969
91 st Street Pool	Niagara Falls, N.Y.	45' x 75'	1969
Center Court Pool	Niagara Falls, N.Y.	45' x 75'	1969
Brookville Elementary School	Brookville, PA	40' x 75'	1969
Craneridge, Inc.	Glenwood, N.Y.	30' x 45'	1969
Eagle Ridge Assoc.	Orchard Park, N.Y.	4225 sq. ft.	1969
East Aurora High School	East Aurora, N.Y.	45' x 75'	1969
Leroy Community Pool	Leroy, N.Y.	4025 sq. ft.	1970
Cradle Beach Pool	Angola, N.Y.	4225 sq. ft.	1970
Allegheny Comm. College	Pittsburgh, PA	45' x 75'	1971
Attica Jr.-Sr. High School	Attica, N.Y.	45' x 75'	1971
Blackhawk Sr. High School	Beaver Falls, PA	45' x 75'	1971

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Byron-Bergen School	Byron, N. Y.	45' x 95'	1971
Camp Sam Wood	Pike, N. Y.	35' x 75'	1971
Clearfield Rec. Center	Amherst, N. Y.	165' x 50'	1971
Millcreek High School	Erie, PA	3975 sq. ft.	1971
Meadville YWCA	Meadville, PA	45' x 75'	1971
Zwicker Aquatic Club	Lockport, N. Y.	60' x 75'	1972
Fairview High School	Fairview, PA	45' x 75'	1972
Town Of Evans	Evans, N. Y.	3875 sq. ft.	1973
Lake Shore Middle School	Angola, N. Y.	45' x 75'	1974
Audubon Community Pool	Amherst, N. Y.	4171 sq. ft.	1974
Waterfront School	Buffalo, N. Y.	30' x 60'	1974
Pioneer Middle School	Yorkshire, N. Y.	35' x 75'	1974
Father John Pool	West Seneca, N. Y.	30' x 60'	1974
Jamestown Comm. College	Jamestown, N. Y.	45' x 75'	1975
Jamestown Comm. College	Jamestown, N. Y.	37' x 27'	1975
Orchard Park High School	Orchard Park, N. Y.	42' x 75'	1975
Ashville B.O.C.E.	Ashville, N. Y.	22' x 42'	1976
Fredonia B.O.C.E.	Fredonia, N. Y.	22' x 42'	1976
Town Of West Seneca	West Seneca, N. Y.	10080sq. ft.	1976
Union City Elem. School	Union City, PA	45' x 75'	1977
Frewsburg High School	Frewsburg, N. Y.	45' x 82'	1977
Titusville Jr. Sr. High School	Titusville, PA	45' x 75'	1978
Brandy Springs Park	Mercer, PA	45' x 82'	1978
City Of Olean	Olean, N. Y.	5040 sq. ft.	1979
Borough Of Port Alleghany	Port Alleghany, PA	48' x 75'	1979
Borough Of Port Alleghany	Port Alleghany, PA	48' x 18'	1979
East Side YMCA	Buffalo, N. Y.	35' x 75'	1979
Buffalo Hilton	Buffalo, N. Y.	25' x 40'	1980
Houghton College	Houghton, N. Y.	45' x 82'	1980
Marriott Inn	Amherst, N. Y.	2-20' x 40'	1980
Edge Brook Estates	Cheektowaga, N. Y.	22' x 50'	1980
Cazenovia Pool	Buffalo, N. Y.	56' x 168'	1981
Father Belle Comm. Center	Buffalo, N. Y.	38' x 75'	1982
Lovejoy Pool	Buffalo, N. Y.	38' x 75'	1983
Fantasy Island	Grand Island, N. Y.	Diving & Moat	1983
Gannon University	Erie, PA	45' x 75'	1984
Medina Central School	Medina, N. Y.	45' x 75'	1984
Super Fitness Health Spa	Niagara Falls, N. Y.	816 sq. ft.	1984
Fantasy Island	Grand Island, N. Y.	Slide Pool	1984
School For The Blind	Batavia, N. Y.	30' x 40'	1985
Houghton Park Pool	Buffalo N. Y.	75' x 82'	1985
Hyde Park Pool	Niagara Falls, N. Y.	1042sq. ft. w/ramp	1986
Camp Mountain Run	Dubois, PA	40' x 100'	1986

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Callahan Park Pool	Bradford, PA	48' x 75'	1987
Callahan Park Pool	Bradford, PA	48' x 30' w/ramp	1987
Niagara University	Lewiston, N.Y.	45' x 90'	1988
Smethport Memorial Pool	Smethport, PA	40' x 100'	1989
Olympic Towers	Buffalo, N.Y.	20' x 60'	1989
Sheraton Inn	Dunkirk, N.Y.	2-20' x 40'	1989
University Of Pittsburgh	Johnstown, PA	45' x 75'	1990
Livonia Jr.-Sr. High School	Livonia, N.Y.	45' x 75'	1990
Palmyra-Macedon H.S.	Palmyra, N.Y.	45' x 75'	1990
Buffalo Magnet School	Buffalo, N.Y.	30' x 60'	1990
Silver Creek High School	Silver Creek, N.Y.	45' x 75' w/ramp	1990
Tonawanda Aquatic Center	Tonawanda, N.Y.	22M x 170'	1990
North Forest Park Pool	Amherst, N.Y.	4275 sq. ft.	1991
Derry High School	Derry, PA	45' x 75' w/step	1992
City Of Hornell	Hornell, N.Y.	4745 sq. ft.	1992
Erie Community College	Buffalo, N.Y.	75' x 168'	1993
Erie Community College	Buffalo, N.Y.	38' x 75'	1993
Williamsville Middle School	Amherst, N.Y.	45' x 75 w/ramp	1993
City of Elmira	Elmira, N.Y.	20' x 40'	1993
Menorah Campus	Amherst, N.Y.	30' x 30'	1993
Jamestown YMCA	Jamestown, N.Y.	28' x 75'	1993
North Rose-Wolcott	Wolcott, N.Y.	40' x 75'	1993
Tri-Community Park	Erie, PA	20' x 20'	1994
Cricton McCormick Park	Portage, PA	5372 sq. ft. w/ramp	1994
Maddona Middle School	Niagara Falls, N.Y.	28' x 50'	1995
Cradle Beach	Angola, N.Y.	6099 sq. ft.	1996
Cuba-Rushford	Cuba, N.Y.	45' x 75' w/ramp	1996
Holland Central School	Holland, N.Y.	45' x 75' w/ramp	1996
Clearfield Rec. Wading Pool	Williamsville, N.Y.	56' x 80' w/0 entry	1997
J.F.K. Jr/Sr High School	Cheektowaga, N.Y.	45' x 75'	1997
Cato-Meridian High School	Cato, N.Y.	45' x 75' w/ramp	1997
Campbell-Savona	Campbell, N.Y.	46' x 75'	1998
Clarence High School	Clarence, N.Y.	60' x 75' w/ramp	1998
Lancaster School 5-6	Lancaster, N.Y.	45' x 75' w/ramp	1998
East High School	Erie, P.A.	45' x 75'	1998
Peek'n Peak	Clymer, N.Y.	2568 sq.ft. w/0 entry	1999
Peek'n Peak	Clymer, N.Y.	Indoor/Outdoor Spa	1999
North East Elementary	North East, PA	51' x 75'	1999
Shriners Hospital	Erie, PA	12' x 20'	1999
Owego Free Academy	Owego, N.Y.	59' x 100'	
Corry YMCA	Corry, PA	w/ramp & stairs	1999
Chautauqua Lake C.S.D.	Mayville, N.Y.	45' x 75'	2000
		3980 sq. ft. w/stairs	2000

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Niagara Falls High School	Niagara Falls, N.Y.	45' x 114'	2000
Northwest Academy	Buffalo, N.Y.	45' x 75' w/ ramp	2000
Ralph Wilson Stadium	Orchard Park, N.Y.	30' x 40', cold dip & spa	2000
Penfield High School	Penfield, N.Y.	45' x 75'	2000
Penfield High School	Penfield, N.Y.	35' x 36'	2000
Fredonia State College	Fredonia, N.Y.	60' x 75'	2001
Fredonia State College	Fredonia, N.Y.	40' x 42'	2001
Red Creek C.S.D.	Wolcott, N.Y.	45' x 75'	2001
Erie Boys & Girls Club	Erie, PA	31' x 75'	2001
Corning YMCA	Corning, N.Y.	4450 sq.ft. w/'0'entry	2001
Staybridge Suite	Corning, N.Y.	20' x 30' pool w/spa	2001
Sodus C.S.D.	Sodus, N.Y.	45' x 75'	2002
Tioga C.S.D.	Tioga, N.Y.	45' x 75'	2002
Gananda C.S.D.	Macedon, N.Y.	45' x 75'	2002
Webster C.S.D.	Webster, N.Y.	75' x 172'	2002
Cleveland Hill	Cheektowaga N.Y.	45' x 75'	2002
Southwestern Elementary	Jamestown, N.Y.	45' x 75' w/ramp	2002
Skaneateles Rec. Center	Skaneateles, N.Y.	59' x 75'	2002
Skaneateles Rec. Center	Skaneateles, N.Y.	3092 sq. ft. w/'0'entry	2002
Brighton C.S.D.	Rochester, N.Y.	45' x 114' w/stairs	2002
Red Creek C.S.D.	Wolcott, N.Y.	Spa	2002
Cheektowaga Wading Pool	Cheektowaga, N.Y.	54' x 82'	2002
Science Park	State College, PA	3155 Sq. ft. addition	2002
Akron C.S.D.	Akron, N.Y.	60' x 75' w/ramp	2002
South Town YMCA	West Seneca, N.Y.	4457 sq.ft.w.'0'entry & Spa	2002
Sweet Home H.S.	Amherst, N.Y.	60' x 75' w/ramp	2002
Genesee Valley C.S.D.	Belmont N.Y.	45' x 75' w/ramp	2003
Wellsville C.S.D.	Wellsville, N.Y.	45' x 75' w/ramp	2003
Allegany Limestone C.S.D.	Allegany, N.Y.	45' x 75'	2003
Clarence Wading Pool	Clarence, N.Y.	42' x 42'	2003
Geneva High School	Geneva, N.Y.	45' x 75'	2004
Rochester Institute of Tech.	Rochester, N.Y.	63' x 121'	2004.
Rochester Institute of Tech	Rochester, N.Y.	Leisure pool and spa	
Perinton Community Center	Fairport, N.Y.	3423 sq. ft.	2004
Perinton Community Center	Fairport, N.Y.	42' x 75' w/steps	2004
Olean Family YMCA	Olean, N.Y.	3680 sq.ft.	2004
Olean Family YMCA	Olean, N.Y.	4023 sq.ft.w/ '0'entry	2004
Jamestown Boys & Girls	Olean, N.Y.	408 sq. ft.	2004
Wesley Woods	Jamestown, N.Y.	30' x 60'	2005
	Grand Valley, Pa	45' x 75' w/step	2005

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Cobblestone Creek	Victor, N.Y.	2736 sq. ft.	2005
Northpoint YMCA	Greece, N.Y.	3008 sq.ft.	2005
Minerva Deland M.S.	Fairport, N.Y.	35' x 75'	2005
Cool Springs	Mercer, Pa	30' x 65'	2005
Fox Run	Orchard Park, N.Y.	20' x 40'	2006
Fox Run	Orchard Park, N.Y.	spa 79 sq.ft.	2006
Niagara Street School	Niagara Falls, N.Y.	20' x 45'	2007
Kahkwa Club	Erie, Pa	8,045 sq. ft.	2007
Salamanca H.S.	Salamanca, N.Y.	46' x 75' w/ramp	2007
Gates- Chili C.S.D.	Gates, N.Y.	75' x 125'	2008
Sheridan Inn	Erie, Pa	25' x 13' & spa	2008
Spencerport C.S.D.	Spencerport, N.Y.	5744 sq. ft.	2008
Fulton County YMCA	Johnstown, N.Y.	45' x 75' & spa	2008
Camp Hough YMCA	Silver Springs, N.Y.	35' x 60 w/steps	2008
Midtown Athletic Center	Rochester, N.Y.	4847 sq.ft. pool	2009
Wayland-Cohocton C.S.D.	Wayland, N.Y.	45' x 75'	2009
Maryville C.S.D.	Cheektowaga, N.Y.	64' x 75 w/ramp	2009
Canandaigua YMCA	Canandaigua, N.Y.	4180 sq.ft.	2009
West Irondequoit H.S.	Irondequoit, N.Y.	56' x 75' w/steps	2009
Bay View YMCA	Webster, N.Y.	3008 sq. ft.	2009
Centennial Park Pool	Buffalo, N.Y.	82' x 101 w/ramp	2010
Dunkirk C.S.D.	Dunkirk, N.Y.	4932 sq. ft. w/ramp	2010
Panama C.S.D.	Panama, N.Y.	45' x 75' w/ramp	2010
Allegany Sports Complex	Salamanca, N.Y.	28' x 75' & spa	2010
Waverly C.S.D.	Waverly, N.Y.	60' x 75' w/steps	2011
Cattaraugus Sports Complex	Irving, N.Y.	28' x 75' w/ramp	2011
East Irondequoit C.S.D.	Rochester, N.Y.	56' x 75' w/steps	2012
Treasure Lake	Dubois, Pa.	4629 sq.ft. w/ramp	2012
BPS #32	Buffalo, N.Y	Wading pool & spa	2012
Independent Health YMCA	Amherst, N.Y.	20' x 50'	2012
	Main Pool 45' x 75' with external step		2012
	Kiddie Pool 1972 sq. ft. with "zero" entry		2012
	Spa 14' x 11'		2012
Brocton CSD	Brocton, N.Y.	45' x 75' w/ramp	2013
Naples CSD	Naples, N.Y.	45' x 75' w/ramp	2014
Hornell YMCA	Hornell, N.Y.	26' x 75'	2014
Catskill Recreation Center	Arkville, N.Y.	4894 sq.ft.w/ zero entry	2014
Clearfield Community Pool	Clearfield, PA	1513 sq ft/wading pool	2014
Tishman Hilton	Buffalo, NY	588 sq ft	2014
Bartlett Country Club	Olean, NY	3260 sq. ft. w/zero entry	2014

william l. watson CO, INC.

8064 NORTH MAIN STREET • EDEN, NY 14057 • 716-992-3220 • FAX: 992-3862
EMAIL: wlwatsonpools@verizon.net

POOLS UNDER CONSTRUCTION

Cathedral Preparatory	Erie, Pa.	60'x 75'
Courtyard Waterfront Hotel	Erie, Pa.	24'x 75'
Avon CSD	Avon, N.Y	2 pools and spa 42'x 75'



SWIMMING POOLS ARE OUR BUSINESS

william l. watson CO, INC.

8064 NORTH MAIN STREET • EDEN, NY 14057 • 716-992-3220 • FAX: 992-3862
EMAIL: wlwatsonpools@verizon.net

July 12, 2012

To Whom It May Concern:

The following employees have been employed by the William L. Watson Co., Inc. as follows:

David A. Friedrich	since 1965
Thomas Friedrich	since 1970
Matthew Friedrich	since 1994
John Guadagno	since 1991
Kevin Jeanty	since 1998
Ryan Williams	since 1999
Owen R. Burdick	since 2006
Nate D. Ratajczak	since 2007
Tyler M. Lenahan	since 2008
John s. Horvatits	since 2012

Very truly yours,

WILLIAM L. WATSON CO., INC.



David A. Friedrich, President

SWIMMING POOLS ARE OUR BUSINESS

2 CONCRETE RIGS, 375 & 600 COMPRESSORS, 4
WELDERS AND ALL MISC. TOOLS TO CONSTRUCT POOL

1.12 List the background and experience of the principal members of your organization, including officers:

SEE LIST OF EMPLOYEES

1.13 List the work to be performed by subcontractors and summarize the dollar value of each subcontract:

NONE WE SELF PERFORM ALL THE
WORK OF THIS CONTRACT

1.14 Credit available: \$250,000 LINE OF CREDIT

1.14 Provide bank references: M&T BANK 6000 3RD PARK AVE,
HAMBURG, N.Y. 14095 716 649 8401

1.16 Will you, upon request, fill out detailed financial statement and furnish any other information that may be required? YES

1.17 The undersigned hereby authorized and requests any person, firm or corporation to furnish any information requested by the Owner in verification of the recitals comprising this Statement of Swimming Pool Contractor's Qualifications.

Dated: 8/5/15 Name of Contractor: WILLIAM L. WATSON Co Inc,

By: David A. Friedrich

Title: PRESIDENT

State of.....N.Y......)

County of...ERIE...)^{ss.}

David A. Friedrich being duly sworn deposes and

says that he is President of William L. Watson Co., Inc.
and that the answers to the foregoing questions and all statements therein
contained are true and correct.

Subscribed and sworn to before me this 5th day of August 2015

Sandra K. Williams Notary

My commission expires 4/9/19

SANDRA K. WILLIAMS NO. 01LE6057195
Notary Public, State of New York
Qualified In Erie County
Commission Expires April 9, 2019

BID BOND

KNOW ALL MEN BY THESE PRESENTS, that, we, the undersigned William L. Watson Co. Inc.,
8064 North Main Street, Eden, NY 14057-1119 as Principal, and
Western Surety Company, 333 S. Wabash Ave., 41st Floor, Chicago, IL 60604-4107 as Surety, are hereby
held and firmly bound unto the City of Cortland as Owner in the penal sum of
Five Percent (5%) of Total Amount Bid - - - for the payment of which, well and truly
made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators,
successors and assigns. Signed, this 28th day of July, 2015.

The condition of the above is such that whereas the Principal has submitted to the City of
Cortland a certain Bid, attached hereto and hereby made a part hereof to enter in a contract in
writing, for the Renovation of the Wickwire Swimming Pool, in the City of Cortland,
Cortland County, New York.

NOW, THEREFORE,

- (a) If said Bid shall be rejected, or in the alternate,
- (b) If said Bid shall be accepted and the Principal shall execute and deliver a contract in the Form of Contract attached hereto (properly completed in accordance with said Bid) and shall furnish a bond for his faithful performance of said Contract, and for the payment of all persons performing labor or furnishing materials in connection therewith, and shall in all other respects perform the agreement created of said Bid, then this obligation shall be void, otherwise the same shall remain in force and effect: it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The Surety, for value received, hereby stipulated agrees that the obligations of said surety and its bond shall in no way be impaired or affected by an extension of the time within which the Owner may accept said bid; and said Surety does hereby waive notice of any such extensions.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set for above.

William L. Watson Co. Inc.


Principal

Western Surety Company

By: 
Alissa J. Wolf, Attorney-In-Fact

IMPORTANT - Surety companies executing bonds must be authorized to transact business in the State of New York.

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Bradley J Hall, Christopher D Ross, Colleen A Kendziora, Loriann P Fay, Victoria Rivera, Timothy Marc Toole, Alissa J Wolf, Individually

of Buffalo, NY, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 18th day of June, 2015.



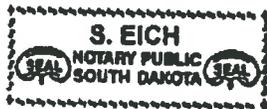
WESTERN SURETY COMPANY

Paul T. Bruflat
Paul T. Bruflat, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 18th day of June, 2015, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires
February 12, 2021



S. Eich
S. Eich, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 2nd day of July, 2015.



WESTERN SURETY COMPANY

L. Nelson
L. Nelson, Assistant Secretary

WESTERN SURETY COMPANY
 Sioux Falls, South Dakota
 Statement of Net Admitted Assets and Liabilities
 December 31, 2014

ASSETS

Bonds	\$ 1,824,951,414
Stocks	23,975,582
Cash, cash equivalents, and short-term investments	51,536,164
Investment income due and accrued	22,267,675
Premiums and considerations	41,696,249
Amounts recoverable from reinsurers	(11,221,508)
Federal and foreign income taxes recoverable	7,401,709
Net deferred tax asset	20,261,713
Receivable from parent, subsidiaries, and affiliates	17,380,167
Other assets	3,799
Total Assets	<u>\$ 1,998,252,964</u>

LIABILITIES AND SURPLUS

Losses	\$ 302,997,505
Reinsurance payable on paid losses and loss adjustment expenses	(15,267,712)
Loss adjustment expense	64,134,995
Contingent and other commissions payable	6,099,306
Unearned premiums	259,011,845
Advance premiums	5,321,610
Payable to parent, subsidiaries and affiliates	107,843
Other liabilities	7,821,458
Total Liabilities	<u>\$ 630,226,850</u>

Surplus Account:	
Capital paid up	\$ 4,000,000
Gross paid in and contributed surplus	280,071,837
Unassigned funds	<u>1,083,954,277</u>
Surplus as regards policyholders	\$ 1,368,026,114
Total Liabilities and Capital	<u>\$ 1,998,252,964</u>

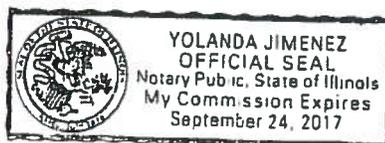
I, Peter Locy, Assistant Vice President of Western Surety Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of Western Surety Company as of that date.

Western Surety Company

By Peter Locy
 Assistant Vice President

Subscribed and sworn to me this 19th day of March, 2014.

My commission expires:



Yolanda Jimenez
 Notary Public

FISCAL ADVISORS & MARKETING, INC.
Internet <http://www.fiscaladvisors.com>

CORPORATE HEADQUARTERS
120 Walton Street Suite 600
Syracuse, New York 13202
Ph 315-752-0051 Fax 315-752-0057

March 31, 2015

Invoice # 22863

Invoice submitted to:
City of Cortland
Mack Cook
Director of Admin. & Finance
City Hall
25 Court Street
Cortland, NY 13045

Office Code: R

	<u>Hours</u>	<u>Amount</u>
1/16/2015 Sewer- Prepare and submit EFC Req #4. Update file.	1.00	150.00
Total Fee for Professional Services	1.00	<u>\$150.00</u>

Term - Due Upon Receipt of This Invoice



CORTLAND POLICE DEPARTMENT

2015 Impound Car Money Request



August 14, 2015

TO: Mack Cook, Director of Administration & Finance
FROM: Deputy Chief Paul A. Sandy
RE: Request for Proceeds for Impound Scrap Money

POLICE VEHICLES:(A3120.206.00 account – Operational Equipment > \$5,000.00)

We are requesting the Common Council appropriate proceeds from the sale of three (3) vehicles from the City of Cortland Police Impound Lot, which had been classified as abandoned in accordance to Section 1224 (3)(a) of the New York State Vehicle & Traffic Law. These vehicles were unfit for auction and were scrapped on August 3, 2015. The three (3) vehicles included a 2001 Buick 4DSD, 2003 Pontiac Montero, and a 2004 Cadillac CTS, with total proceeds from the sale of these vehicles for scrap being \$463.60. If appropriated to this agency, the funds will be directly applied toward the purchase of new police vehicles for 2016.

\$463.60

CITY OF CORTLAND

Finance Office
25 Court Street
CORTLAND, NY 13045
607-756-7312
RECEIPT

NAME		CPD	
ADDRESS			
SOLD BY	DATE	PH. NO.	
<i>[Signature]</i>	8/14/18		
		ACCT. FORWARD	
A	3426 2655	463	60
prop 3 cars			
No. 041951		TOTAL	463 60
ALL CLAIMS & RETURNED GOODS MUST BE ACCOMPANIED BY THIS BILL.			

August 20, 2015

By: Councilor _____
Seconded: Councilor _____

BOND RESOLUTION # OF 2015

BOND RESOLUTION OF THE CITY OF CORTLAND, NEW YORK AUTHORIZING THE ISSUANCE OF \$3,983,000 IN SERIAL BONDS TO FINANCE THE COST OF THE RECONSTRUCTION OF THE CLINTON AVENUE SEWER AND STORMWATER SYSTEMS

BE IT RESOLVED by the Common Council of the City of Cortland, New York as follows:

Section 1. The City of Cortland, New York (the "City") is hereby authorized to undertake the reconstruction of the Clinton Avenue Sewer and Stormwater Systems including the acquisition of original furnishings, equipment, machinery or apparatus required at an estimated maximum cost of \$3,983,000 and to issue an aggregate \$3,983,000 in serial bonds pursuant to the provisions of the Local Finance Law to finance the estimated costs of the aforesaid object or purpose.

Section 2. It is hereby determined that the maximum estimated cost of the aforesaid specific object or purpose is \$3,983,000, said amount is hereby appropriated therefor and the plan for the financing thereof shall consist of the issuance of \$3,983,000 in serial bonds (the "Bonds") of the City authorized to be issued pursuant to this Resolution.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid specific object or purpose is eighty (80) years pursuant to paragraph 4 of Section 11.00(a) of the Local Finance Law.

Section 4. Pursuant to Section 107.00(d)(3)(1) of the Local Finance Law, current funds are not required to be provided prior to issuance of the Bonds or any bond anticipation notes issued in anticipation of issuance of the Bonds.

Section 5. The temporary use of available funds of the City, not immediately required for the purpose or purposes for which the same were borrowed, raised or otherwise created, is hereby authorized pursuant to Section 165.10 of the Local Finance Law, for the capital purposes described in Section 1 of this Resolution.

Section 6. The Bonds and any bond anticipation notes issued in anticipation of the Bonds, shall contain the recital of validity prescribed by Section 52.00 of the Local Finance Law and the Bonds, and any bond anticipation notes issued in anticipation of the Bonds, shall be general obligations of the City, payable as to both principal and interest by a general tax upon all the real property within the City without legal or constitutional limitation as to rate or amount. The faith and credit of the City are hereby irrevocably pledged to the punctual payment of the principal of and interest on the Bonds, and any bond anticipation notes issued in anticipation of the Bonds, and provision shall be made annually in the budget of the City by appropriation for (a) the amortization and redemption of the Bonds and bond anticipation notes to mature in such year, and (b) the payment of interest to be due and payable in such year.

Section 7. Subject to the provisions of this Resolution and of the Local Finance Law, and pursuant to the provisions of Sections 21.00, 30.00, 50.00 and 56.00 to 63.00, inclusive, of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the Bonds herein authorized, including renewals of such notes, and the power to prescribe the terms, form and contents of the Bonds, and any bond anticipation notes, and the power to sell and deliver the Bonds and any bond anticipation notes issued in anticipation of the issuance of the Bonds, and the power to issue bonds providing for level or substantially level or declining annual debt service, is hereby delegated to the Director of Finance and Administration, the Chief Fiscal Officer of the City.

Section 8. The reasonably expected source of funds to be used to initially pay for the expenditures authorized by Section 1 of this Resolution shall be from the City's General Fund. It is intended that the City shall then reimburse such expenditures with the proceeds of the Bonds and bond anticipation notes authorized by this Resolution and that the interest payable on the Bonds and any bond anticipation notes issued in anticipation of the Bonds shall be excludable from gross income for federal income tax purposes. This Resolution is intended to constitute the declaration of the City's "official intent" to reimburse the expenditures authorized by this Resolution with the proceeds of the Bonds and bond anticipation notes authorized herein, as required by Treasury Regulation Section 1.150-2. Other than as specified in this Resolution, no moneys are reasonably expected to be received, allocated on a long term basis, or otherwise set aside with respect to the permanent funding of the objects or purposes described herein.

Section 9. The serial bonds and bond anticipation notes authorized to be issued by this Resolution are hereby authorized to be consolidated, at the option of the City's Director of Finance and Administration, the Chief Fiscal Officer of the City, with the serial bonds and bond anticipation notes authorized by other Bond Resolutions previously or hereafter adopted by the Common Council for purposes of sale in to one or more bond or note issues aggregating an amount not to exceed the amount authorized in such resolutions. All matters regarding the sale of the bonds, including the date of the bonds, the consolidation of the serial bonds and the bond anticipation notes with other issues of the City and the serial maturities of the bonds are hereby delegated to the Director of Finance and Administration, the Chief Fiscal Officer of the City.

Section 10. The City Director of Finance and Administration, as Chief Fiscal Officer of the City, is further authorized to sell all or a portion of the Bonds, and any bond anticipation notes issued in anticipation of the Bonds, the New York State Environmental Facilities Corporation (the "EFC") in the form prescribed in one or more loan and/or grant agreements (the "Agreements") between the City and the EFC; to execute and deliver on behalf of the City one or more Agreements, Project Financing Agreements, and Letters of Intent with the EFC and to accept the definitive terms of one or more Agreements from EFC by executing and delivering one or more Terms Certificates; and to execute such other documents, and take such other actions, as are necessary or appropriate to obtain a loan or loans from the EFC for all or a portion of the costs of the expenditures authorized by this resolution, and perform the City's obligation under its Bonds or bond anticipation notes delivered to the EFC, the Project Financing Agreements and the Agreements.

Section 11. Any federal or New York State grant funds obtained by the City for the capital purposes described in Section 1 of this Resolution shall be applied to pay the principal of and interest on the Bonds or any bond anticipation notes issued in anticipation of the Bonds or to the extent obligations

shall not have been issued under this Resolution, to reduce the maximum amount to be borrowed for such capital purposes.

Section 12. The validity of the Bonds authorized by this Resolution and of any bond anticipation notes issued in anticipation of the Bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the City is not authorized to expend money; or
- (b) the provisions of law which should be complied with at the date of the publication of this Resolution or a summary hereof are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of such publication; or
- (c) such obligations are authorized in violation of the provisions of the Constitution.

Section 13. The Director of Finance and Administration, as Chief Fiscal Officer of the City, is hereby authorized to enter into an undertaking for the benefit of the holders of the Bonds from time to time, and any bond anticipation notes issued in anticipation of the sale of the Bonds, requiring the City to provide secondary market disclosure as required by Securities and Exchange Commission Rule 15c2-12.

Section 14. The City Director of Finance and Administration, as Chief Fiscal Officer of the City, is hereby authorized to file an application with the New York State Comptroller pursuant to Section 124.10 of the Local Finance Law to exclude the proposed indebtedness authorized herein from the constitutional and statutory debt limits of the City.

Section 15. This Resolution, or a summary of this Resolution, shall be published in the official newspapers of the City for such purpose, together with a notice of the Clerk of the City in substantially the form provided in Section 81.00 of the Local Finance Law.

Section 16. This Resolution is not subject to a mandatory or permissive referendum.

Section 17. The Common Council hereby determines that the provisions of the State Environmental Quality Review Act and the regulations thereunder have previously been satisfied with respect to the expenditures authorized by this Resolution.

Section 18. This Resolution shall take effect immediately upon its adoption.

	<u>Ayes</u>	<u>Nays</u>
Alderman Julie E. Bird	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Kathryn Silliman	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Ken Dye	<input type="checkbox"/>	<input type="checkbox"/>
Alderman John G. Bennett, Jr.	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Clifton Dutcher	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Carl Ferrer	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Linda A. Ferguson	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Thomas Michales	<input type="checkbox"/>	<input type="checkbox"/>

Approved: Ayes _____

Nays _____

STATE OF NEW YORK)
COUNTY OF CORTLAND) ss.:

I, Raymond Parker, Clerk of the City of Cortland, Cortland County, New York (the "City") DO HEREBY CERTIFY:

That I have compared the annexed abstract of the minutes of the meeting of the Common Council of the City, held on the 4th day of September, 2015, including the Resolution contained therein, with the original thereof on file in my office, and the same is true and correct copy of said original and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that the full Common Council of the City consists of eight (8) members; that _____ (___) members of the Common Council were present at such meeting; and that _____ (___) of such members voted in favor of the above Resolution.

I FURTHER CERTIFY that (i) all members of the Common Council had due notice of the meeting. (ii) pursuant to Article 7 of the Public Officers Law (Open Meeting Law), such meeting was open to the general public, and due notice of the time and place of such meeting was duly given in accordance with Article 7 of the Public Officer Law, and (iii) the meeting was in all respects duly held.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the City this _____ day of September, 2015.

Raymond Parker, Clerk, City of
Cortland, Cortland County, New York

(SEAL)

ESTOPPEL NOTICE

The Bond Resolution, a summary of which is published herewith, has been adopted on the 21st day of May, 2013, and the validity of the obligations authorized by such Bond Resolution may be hereafter contested only if such obligations were authorized for an object or purpose for which the City of Cortland, Cortland County, New York, is not authorized to expend money or the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or such obligations were authorized in violation of the provisions of the Constitution.

Summary of Bond Resolution

1. Class of Objects or Purposes – The reconstruction of the City’s Waste Water Treatment Plant, including the acquisition of original furnishings, equipment, machinery or apparatus.
2. Period of Probably Usefulness – forty (40) years.
3. Maximum Amount of Obligations to be Issued - \$13,500,000.

The Bond Resolution herein summarized shall be available for public inspection during normal business hours for twenty (20) days following the date of publication of this notice at the office of the City Clerk at City Hall, 25 Court Street, Cortland, New York.

Judith Chamberlain, Clerk, City of Cortland,
Cortland County, New York



NYS WATER INFRASTRUCTURE IMPROVEMENT ACT GRANT SUMMARY CLEAN WATER

OVERVIEW

The New York State Water Infrastructure Improvement Act of 2015 provides the New York State Environmental Facilities Corporation (EFC) authority to provide grants to assist municipalities in funding water quality infrastructure projects. NYS Water Grants will be offered to both drinking water and sewage treatment works (clean water) projects that improve water quality and/or protect public health.

The NYS Water Grant program will provide a total of \$200 million to both clean water and drinking water projects. EFC will provide \$30 million in NYS Water Grants during the 2015-2016 state fiscal year (SFY) to selected municipalities with eligible clean water projects. Additional grant is expected to be available in SFY 2016-2017 and SFY 2017-2018. Municipalities are limited to one NYS Water Grant award for clean water projects over the 3 year period of the program.

EFC does not expect the amount of available NYS Water Grants to be sufficient to offer Grants to all eligible applicants. EFC will evaluate all projects that have complete applications submitted by the deadline based on protection of water quality, the readiness of the project to proceed to and complete construction, and the economic distress of the municipality as determined by the EFC Hardship policy for the Clean Water State Revolving Fund (CWSRF).

BENEFIT

Applicant municipalities with eligible clean water projects may receive NYS Water Grants of up to \$5 million, but will not exceed 25% of the project cost after discounting other grant funds.

TIMING

Applications and information sufficient for a project to be considered for NYS Water Grants in SFY 2015-2016 should be submitted to EFC no later than **September 4, 2015**. NYS Water Grant applications that are submitted without all required information and requested documents will be deemed as incomplete and not be processed. Applications should be submitted to NYSWaterGrants@efc.ny.gov. Documents submitted to supplement the application can be submitted through the EFC dropbox.



ELIGIBLE ENTITIES

A NYS Water Grant eligible entity is any municipality such as a county, city, town, village, district corporation, county or town improvement district, school district, Indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, and any public benefit corporation or public authority. (School districts are eligible for NYS Water Grants but are ineligible for CWSRF financial assistance).

ELIGIBLE PROJECTS

Projects funded through the NYS Water Grant program shall be awarded to water quality infrastructure projects at municipally-owned wastewater treatment works for:

- replacement or repair of infrastructure; or
- compliance with environmental and public health laws and regulations related to water quality

EFC shall consider and give preference to municipalities that meet the Hardship criteria and projects that result in the greatest water quality improvement or greatest reduction in serious risk to public health.

Participation in the CWSRF program is not a requirement to receive a NYS Water Grant unless the community is seeking the NYS Water Grant in the Hardship category. However, the low cost financial assistance and one-stop convenience of jointly financing a project with NYS Water Grant and CWSRF financial assistance is strongly encouraged. A municipality is not required to submit an application for CWSRF financing to receive a NYS Water Grant only. NYS Water Grant awardees not utilizing CWSRF financing will be required to provide proof of having obtained similarly low cost financing or funding from another source. For projects that are seeking CWSRF financial assistance, eligible projects must be listed on the Annual List in the FFY 2016 IUP.

All awarded projects must comply with the state MWBE, Smart Growth, and environmental review requirements. The MWBE goal for projects funded with NYS Water Grants and CWSRF financing is 23% combined MBE/WBE participation. For projects that are awarded a NYS Water Grant only, the MWBE goal is 30% combined participation. Eligible projects using CWSRF financing will also be required to meet all other applicable CWSRF requirements, such as American Iron and Steel and Davis-Bacon. All requirements to execute a CWSRF financing are discussed in the current IUP.

Funds made available through the NYS Water Grant program can be used for planning, design, and construction services. Planning only projects and projects completed with construction on or before October 1, 2015 are not eligible.



Environmental Facilities Corporation

625 Broadway
Albany, New York 12207-2997
(518) 402-6924 (p)
(518) 402-6954 (f)
NYSWaterGrants@efc.ny.gov
www.efc.ny.gov/nyswatergrants

All candidates for SFY 2015-2016 NYS Water Grant funding must meet the following criteria:

- Must be a municipally-owned treatment works;
- No CWSRF additional subsidization (grant or principal forgiveness) previously awarded to the community, excluding ARRA;
- The short-term financing has not closed OR was closed during FFY 2015 or later; and
- Construction must be completed during or after FFY 2016.

Three categories are available for eligible projects. Applicants must identify only one category for NYS Water Grant consideration. Applicants are required to identify their intended category by submitting a Category Selection Certification Form, which is part of their NYS Water Grant Application.

- Projects that qualify for a reduced interest rate financing (Hardship) under the CWSRF program
- Combined Sewer Overflow (CSO) / Sanitary Sewer Overflow (SSO) Projects
- Resiliency Projects

Hardship Projects

Candidates for the Hardship Projects Grant must meet all of the following criteria:

- Eligible for Hardship (see 2015 IUP, as amended)
- 2013 American Community Survey (ACS) Median Household Income (MHI) must be:
 - Less than 80% of the statewide 2013 MHI (\$46,402) or
 - If the MHI is between 80% to 100% of the 2013 statewide MHI (\$46,402 - \$58,002) then the family poverty rate must be greater than or equal to the 2013 ACS statewide average (11.69%)
- Submit a complete CWSRF financing application

For projects that have submitted a complete CWSRF financial application and appear in Category D in the final FFY 2015 CWSRF IUP or are in Categories A or B that have received a Hardship Confirmation letter from EFC, only Part 1 of the NYS Water Grant Application is required to be submitted.

Municipalities not on the 2015 IUP must list a project on the FFY 2016 IUP and submit a complete NYS Water Grant application by September 4, 2015. Projects in this category are expected to finance their projects through the CWSRF.

The maximum grant award of up to \$5 million will be determined on the project cost, applicant's MHI, unemployment, poverty level and population trend.



Combined Sewer Overflow (CSO) / Sanitary Sewer Overflow (SSO) Projects

New York State has identified CSO/SSOs as areas of primary concern that need to be addressed.

- CSOs are defined as discharges of untreated sewage from combined sanitary and stormwater systems.
- SSOs are defined as discharges of untreated sewage from sanitary sewers.

CSO/SSO projects with the primary purpose of reducing the frequency, intensity and duration of sewer overflows are eligible to receive NYS Water Grant funding.

Resiliency Projects

Projects will be considered for NYS Water Grant funding that propose to reduce flood damage risk and vulnerability or to enhance resiliency to rapid hydrologic change, sea level rise, storm-related wave action, tidal influence, or a natural disaster at a treatment works. A project that serves one of the following purposes may be eligible for financing under this category:

- Reduces the likelihood of physical damage as a result of anticipated flooding, storm damage, storm surges, or sea-level rise to a treatment works;
- Reduces a treatment works' susceptibility to physical damage or ancillary impacts caused by floods;
- Facilitates preparation for, adaptation to, or recovery from a sudden, unplanned change in the amount of and movement of water in proximity to a treatment works; or,
- Facilitates preparation for, adaptation to, or recovery from climate change or any other type of natural disaster.

A list of eligible projects can be found in Attachment 1 of Appendix H of the 2015 IUP.

APPLICATIONS

Applicants who would like to be considered for NYS Water Grant financial assistance must submit a NYS Water Grant Application and the following documentation:

- Engineering Report, including project schedule and budget (if not previously submitted)
- Smart Growth Assessment Form (if not previously submitted)
- Plan of Finance (only for those not seeking CWSRF financial assistance)

EFC sets a high priority on projects that will move forward and be completed, thus remedying water quality problems. For projects pursuing grants in the Hardship category,



Environmental Facilities Corporation

625 Broadway
Albany, New York 12207-2997
(518) 402-6924 (p)
(518) 402-6954 (f)
NYSWaterGrants@efc.ny.gov
www.efc.ny.gov/nyswatergrants

a complete CWSRF financial application must be submitted by the September 4, 2015 deadline.

CSO/SSO and Resiliency projects will receive additional consideration if they demonstrate project readiness. Although not required at the time of application, the following documents submitted to EFC will be clear demonstrations of project readiness. Applicants for these categories of projects are encouraged to submit as many of these documents as possible to EFC by September 4, 2015:

- Bond Resolution (certified)
- District Formation completed (if applicable)
- Environmental Review completed (SHPO review completed; SERP for CWSRF projects; SEQR for non-CWSRF projects)
- Complete CWSRF Application (for CSO/SSO and Resiliency Projects, if not previously submitted and if seeking CWSRF financial assistance)

Applicants seeking CWSRF financial assistance but whose projects are NOT currently listed on the IUP will need to submit an approvable Engineering Report and Smart Growth Assessment form by the September 4, 2015 deadline, as well as listing their project on the IUP. Please see the current IUP for instructions on how to list a project through the Project Listing and Update System ([PLUS](#)). Grant project listings through PLUS are due as soon as possible but no later than September 4, 2015.

For municipalities that are NOT seeking CWSRF financial assistance, the submission of a CWSRF Application is not required. However, such municipalities will be required to submit a complete Section 1 - Plan of Finance that describes all sources of funding to complete the proposed project. Additional documentation to substantiate the sources of funds will be required to be submitted to EFC.

Municipalities are limited to one clean water award from the NYS Water Grant program during the 3 year period.

Applications submitted without an approvable engineering report or incomplete NYS Water Grant application forms will not be processed. Eligible candidate projects will be evaluated in accordance with the Project Evaluation criteria.

PROJECT EVALUATION

Projects will be evaluated based on the project's ability to improve water quality, readiness to proceed to construction, and economic distress of the community. Information provided in the NYS Water Grant application will aid in this process. Applicants are encouraged to answer these questions as completely and thoroughly as possible. The candidate projects will be evaluated based on the following category criteria:



Environmental Facilities Corporation

625 Broadway
Albany, New York 12207-2997
(518) 402-6924 (p)
(518) 402-6954 (f)
NYSWaterGrants@efc.ny.gov
www.efc.ny.gov/nyswatergrants

Hardship Projects

- CWSRF Project Priority System (6NYCRR Part 649)

CSO/SSO Projects

- Protection of Water Quality
- Project Development and Readiness
- Enforcement Priority
- Economic Distress

Resiliency Projects

- Protection of Water Quality
- Project Development and Readiness
- Proposed Project Solution
- Economic Distress

EFC will provide NYS Water Grant offer letters to the qualifying communities up to the available amount of grant.

CONTACT US

All questions regarding the NYS Water Grant program and application can be directed to:

Mr. Dwight Brown
SRF Program Services Coordinator
Division of Engineering & Program Management
New York State Environmental Facilities Corporation
625 Broadway
Albany, New York 12207-2997
PHONE: (518) 402-6924
FAX: (518) 402-6954
E-mail: NYSWaterGrants@efc.ny.gov

August 20, 2015

By: Councilor _____
Seconded: Councilor _____

BOND RESOLUTION # OF 2015

BOND RESOLUTION OF THE CITY OF CORTLAND, NEW YORK AUTHORIZING THE ISSUANCE OF \$2,010,000 IN SERIAL BONDS TO FINANCE THE COST OF THE RECONSTRUCTION OF THE CLINTON AVENUE WATER SYSTEM

BE IT RESOLVED by the Common Council of the City of Cortland, New York as follows:

Section 1. The City of Cortland, New York (the "City") is hereby authorized to undertake the reconstruction of the Clinton Avenue Water System including the acquisition of original furnishings, equipment, machinery or apparatus required at an estimated maximum cost of \$2,010,000 and to issue an aggregate \$2,010,000 in serial bonds pursuant to the provisions of the Local Finance Law to finance the estimated costs of the aforesaid object or purpose.

Section 2. It is hereby determined that the maximum estimated cost of the aforesaid specific object or purpose is \$2,010,000, said amount is hereby appropriated therefor and the plan for the financing thereof shall consist of the issuance of \$2,010,000 in serial bonds (the "Bonds") of the City authorized to be issued pursuant to this Resolution.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid specific object or purpose is eighty (80) years pursuant to paragraph 4 of Section 11.00(a) of the Local Finance Law.

Section 4. Pursuant to Section 107.00(d)(3)(1) of the Local Finance Law, current funds are not required to be provided prior to issuance of the Bonds or any bond anticipation notes issued in anticipation of issuance of the Bonds.

Section 5. The temporary use of available funds of the City, not immediately required for the purpose or purposes for which the same were borrowed, raised or otherwise created, is hereby authorized pursuant to Section 165.10 of the Local Finance Law, for the capital purposes described in Section 1 of this Resolution.

Section 6. The Bonds and any bond anticipation notes issued in anticipation of the Bonds, shall contain the recital of validity prescribed by Section 52.00 of the Local Finance Law and the Bonds, and any bond anticipation notes issued in anticipation of the Bonds, shall be general obligations of the City, payable as to both principal and interest by a general tax upon all the real property within the City without legal or constitutional limitation as to rate or amount. The faith and credit of the City are hereby irrevocably pledged to the punctual payment of the principal of and interest on the Bonds, and any bond anticipation notes issued in anticipation of the Bonds, and provision shall be made annually in the budget of the City by appropriation for (a) the amortization and redemption of the Bonds and bond anticipation notes to mature in such year, and (b) the payment of interest to be due and payable in such year.

Section 7. Subject to the provisions of this Resolution and of the Local Finance Law, and pursuant to the provisions of Sections 21.00, 30.00, 50.00 and 56.00 to 63.00, inclusive, of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the Bonds herein authorized, including renewals of such notes, and the power to prescribe the terms, form and contents of the Bonds, and any bond anticipation notes, and the power to sell and deliver the Bonds and any bond anticipation notes issued in anticipation of the issuance of the Bonds, and the power to issue bonds providing for level or substantially level or declining annual debt service, is hereby delegated to the Director of Finance and Administration, the Chief Fiscal Officer of the City.

Section 8. The reasonably expected source of funds to be used to initially pay for the expenditures authorized by Section 1 of this Resolution shall be from the City's General Fund. It is intended that the City shall then reimburse such expenditures with the proceeds of the Bonds and bond anticipation notes authorized by this Resolution and that the interest payable on the Bonds and any bond anticipation notes issued in anticipation of the Bonds shall be excludable from gross income for federal income tax purposes. This Resolution is intended to constitute the declaration of the City's "official intent" to reimburse the expenditures authorized by this Resolution with the proceeds of the Bonds and bond anticipation notes authorized herein, as required by Treasury Regulation Section 1.150-2. Other than as specified in this Resolution, no moneys are reasonably expected to be received, allocated on a long term basis, or otherwise set aside with respect to the permanent funding of the objects or purposes described herein.

Section 9. The serial bonds and bond anticipation notes authorized to be issued by this Resolution are hereby authorized to be consolidated, at the option of the City's Director of Finance and Administration, the Chief Fiscal Officer of the City, with the serial bonds and bond anticipation notes authorized by other Bond Resolutions previously or hereafter adopted by the Common Council for purposes of sale in to one or more bond or note issues aggregating an amount not to exceed the amount authorized in such resolutions. All matters regarding the sale of the bonds, including the date of the bonds, the consolidation of the serial bonds and the bond anticipation notes with other issues of the City and the serial maturities of the bonds are hereby delegated to the Director of Finance and Administration, the Chief Fiscal Officer of the City.

Section 10. The City Director of Finance and Administration, as Chief Fiscal Officer of the City, is further authorized to sell all or a portion of the Bonds, and any bond anticipation notes issued in anticipation of the Bonds, the New York State Environmental Facilities Corporation (the "EFC") in the form prescribed in one or more loan and/or grant agreements (the "Agreements") between the City and the EFC; to execute and deliver on behalf of the City one or more Agreements, Project Financing Agreements, and Letters of Intent with the EFC and to accept the definitive terms of one or more Agreements from EFC by executing and delivering one or more Terms Certificates; and to execute such other documents, and take such other actions, as are necessary or appropriate to obtain a loan or loans from the EFC for all or a portion of the costs of the expenditures authorized by this resolution, and perform the City's obligation under its Bonds or bond anticipation notes delivered to the EFC, the Project Financing Agreements and the Agreements.

Section 11. Any federal or New York State grant funds obtained by the City for the capital purposes described in Section 1 of this Resolution shall be applied to pay the principal of and interest on the Bonds or any bond anticipation notes issued in anticipation of the Bonds or to the extent obligations

shall not have been issued under this Resolution, to reduce the maximum amount to be borrowed for such capital purposes.

Section 12. The validity of the Bonds authorized by this Resolution and of any bond anticipation notes issued in anticipation of the Bonds may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the City is not authorized to expend money; or
- (b) the provisions of law which should be complied with at the date of the publication of this Resolution or a summary hereof are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of such publication; or
- (c) such obligations are authorized in violation of the provisions of the Constitution.

Section 13. The Director of Finance and Administration, as Chief Fiscal Officer of the City, is hereby authorized to enter into an undertaking for the benefit of the holders of the Bonds from time to time, and any bond anticipation notes issued in anticipation of the sale of the Bonds, requiring the City to provide secondary market disclosure as required by Securities and Exchange Commission Rule 15c2-12.

Section 14. The City Director of Finance and Administration, as Chief Fiscal Officer of the City, is hereby authorized to file an application with the New York State Comptroller pursuant to Section 124.10 of the Local Finance Law to exclude the proposed indebtedness authorized herein from the constitutional and statutory debt limits of the City.

Section 15. This Resolution, or a summary of this Resolution, shall be published in the official newspapers of the City for such purpose, together with a notice of the Clerk of the City in substantially the form provided in Section 81.00 of the Local Finance Law.

Section 16. This Resolution is not subject to a mandatory or permissive referendum.

Section 17. The Common Council hereby determines that the provisions of the State Environmental Quality Review Act and the regulations thereunder have previously been satisfied with respect to the expenditures authorized by this Resolution.

Section 18. This Resolution shall take effect immediately upon its adoption.

	<u>Ayes</u>	<u>Nays</u>
Alderman Julie E. Bird	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Kathryn Silliman	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Ken Dye	<input type="checkbox"/>	<input type="checkbox"/>
Alderman John G. Bennett, Jr.	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Clifton Dutcher	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Carl Ferrer	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Linda A. Ferguson	<input type="checkbox"/>	<input type="checkbox"/>
Alderman Thomas Michales	<input type="checkbox"/>	<input type="checkbox"/>

Approved: Ayes _____

Nays _____

STATE OF NEW YORK)
COUNTY OF CORTLAND) ss.:

I, Raymond Parker, Clerk of the City of Cortland, Cortland County, New York (the "City") DO HEREBY CERTIFY:

That I have compared the annexed abstract of the minutes of the meeting of the Common Council of the City, held on the 4th day of September, 2015, including the Resolution contained therein, with the original thereof on file in my office, and the same is true and correct copy of said original and of the whole of said original so far as the same relates to the subject matters therein referred to.

I FURTHER CERTIFY that the full Common Council of the City consists of eight (8) members; that _____ (___) members of the Common Council were present at such meeting; and that _____ (___) of such members voted in favor of the above Resolution.

I FURTHER CERTIFY that (i) all members of the Common Council had due notice of the meeting. (ii) pursuant to Article 7 of the Public Officers Law (Open Meeting Law), such meeting was open to the general public, and due notice of the time and place of such meeting was duly given in accordance with Article 7 of the Public Officer Law, and (iii) the meeting was in all respects duly held.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the City this _____ day of September, 2015.

Raymond Parker, Clerk, City of
Cortland, Cortland County, New York

(SEAL)

ESTOPPEL NOTICE

The Bond Resolution, a summary of which is published herewith, has been adopted on the 21st day of May, 2013, and the validity of the obligations authorized by such Bond Resolution may be hereafter contested only if such obligations were authorized for an object or purpose for which the City of Cortland, Cortland County, New York, is not authorized to expend money or the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty (20) days after the date of publication of this notice, or such obligations were authorized in violation of the provisions of the Constitution.

Summary of Bond Resolution

1. Class of Objects or Purposes – The reconstruction of the City's Waste Water Treatment Plant, including the acquisition of original furnishings, equipment, machinery or apparatus.
2. Period of Probably Usefulness – forty (40) years.
3. Maximum Amount of Obligations to be Issued - \$13,500,000.

The Bond Resolution herein summarized shall be available for public inspection during normal business hours for twenty (20) days following the date of publication of this notice at the office of the City Clerk at City Hall, 25 Court Street, Cortland, New York.

Judith Chamberlain, Clerk, City of Cortland,
Cortland County, New York



NYS WATER INFRASTRUCTURE IMPROVEMENT ACT GRANT SUMMARY DRINKING WATER

OVERVIEW

The New York State Water Infrastructure Improvement Act of 2015 provides the New York State Environmental Facilities Corporation (EFC) authority to provide grants to assist municipalities in funding water quality infrastructure projects. NYS Water Grants will be offered to both drinking water and sewage treatment works (clean water) projects that focus on improving water quality and protecting public health. The Drinking Water grants will be co-administered by the New York State Department of Health (DOH).

ASSISTANCE PROVIDED

The NYS Water Grant program will provide a total of \$200 million to both clean water and drinking water projects. EFC and DOH will provide \$20 million in NYS Water Grants during the 2015-2016 state fiscal year (SFY) to selected municipalities with eligible drinking water projects. Additional grant is expected to be available in SFY 2016-2017 and SFY 2017-2018. Municipalities are limited to one NYS Water Grant award over the 3-year period of the program.

EFC and DOH do not expect the amount of available NYS Water Grants to be sufficient to offer Grants to all eligible applicants. EFC and DOH will evaluate all projects that have complete applications submitted by the deadline based on public health priority, the readiness of the project to proceed to and complete construction, and the economic distress of the municipality as determined by the EFC Hardship policy.

BENEFIT

Applicant municipalities may receive up to \$2 million or 60% of the total project total cost, whichever is less. Total project cost is inclusive of any other grants and/or co-funding.

TIMING

Applications and information sufficient for a project to be considered for NYS Water Grant funding in SFY 2015-2016 should be submitted no later than **September 4, 2015**. Applications should be submitted to NYSWaterGrants@efc.ny.gov. Documents submitted to supplement the application can be submitted through the EFC dropbox.



ELIGIBLE ENTITIES

A NYS Water Grant eligible entity is any municipality such as a county, city, town, village, district corporation, county or town improvement district, school district, Indian nation or tribe recognized by the state or the United States with a reservation wholly or partly within the boundaries of New York State, and any public benefit corporation or public authority. (School districts are eligible for NYS Water Grants but are ineligible for DWSRF financial assistance).

All candidates for SFY 2015-2016 NYS Water Grant funding must meet the following criteria:

- Must be a municipally-owned federally defined community public water system;
- Must submit a NYS Water Grant Listing Form to DOH, even if they are listed in the current IUP;
- No DWSRF additional subsidization (grant or principal forgiveness) previously awarded to the community, excluding ARRA;
- The Short-term financing has not closed OR was closed during FFY 2015 or later; and
- Construction must be completed during or after FFY 2016.

ELIGIBLE & INELIGIBLE PROJECTS

Only those types of infrastructure projects defined as eligible by the Drinking Water State Revolving Fund (DWSRF) may compete for NYS Water Grant funds excluding the extending of public water to areas not currently served. Projects eligible for NYS Water Grant funding include investments to upgrade or replace drinking water infrastructure, address noncompliance with federal or state drinking water health standards, prevent future violations of such standards, and provide the public with safe drinking water.

Examples of eligible projects include, but are not limited to:

- installation or replacement of transmission and distribution mains to prevent water loss or contamination caused by leaks or breaks;
- rehabilitation or development of new drinking water sources to replace contaminated or deficient sources;
- installation or upgrading of facilities if the project will improve the quality of drinking water to comply with primary or secondary standards or treatment/performance criteria; and
- installation or upgrading of pumping and storage facilities, including finished water reservoirs, to prevent microbiological contamination or to provide adequate delivery pressures.

Examples of ineligible projects include, but are not limited to:

- Projects for Operation and Maintenance of public water systems
- Projects for dam or reservoir work
- Projects primarily for fire suppression
- Projects to extend public water to areas not currently served
- Projects that are not for drinking water infrastructure improvements



- Projects only for planning
- Projects with completed construction on or before October 1, 2015

Funds made available through the NYS Water Grant program can be used for costs associated with project planning, design, and construction services as long as those costs result in a completed drinking water infrastructure improvement project.

As required by the NYS Water Grant, EFC and DOH shall consider and give preference to municipalities that meet the hardship criteria and projects that result in the greatest reduction in serious risk to public health. These requirements of the Act will be met through a minimum of 75% of the drinking water NYS Water Grant funds being reserved for hardship communities and using the current DWSRF public health priority ranking system to rank all projects.

A municipality is not required to take a DWSRF financing to receive the NYS Water Grant. However, all projects will be listed in the DWSRF IUP and DWSRF financing will be made available as an option for non- NYS Water Grant funded portion of the projects. The terms of the DWSRF financing will be in accordance with DWSRF program rules. NYS Water Grant awardees not utilizing DWSRF financing will be required to provide proof of having obtained similarly low cost financing or other funding from another source. For projects that are seeking DWSRF financial assistance, eligible projects may be listed on the FFY 2015 IUP or may be newly listed on the FFY 2016 IUP.

All awarded projects must comply with the state MWBE, Smart Growth and environmental review requirements. Applicants seeking DWSRF financing for the non-NYS Water Grant funded portion of the will also be required to meet all other applicable DWSRF requirements, such as American Iron and Steel and Davis-Bacon. Requirements to execute a DWSRF financing are discussed in the current IUP.

All candidates for SFY 2015-2016 NYS Water Grant funding must meet the following criteria:

- Must be a municipally-owned federally defined community public water system;
- Must submit a NYS Water Grant listing form to DOH even if they are listed in the current DWSRF IUP;
- No DWSRF additional subsidization (grant or principal forgiveness) previously awarded to the community, excluding ARRA;
- The Short-term financing has not closed OR was closed during FFY 2015 or later; and
- Construction must be completed during or after FFY 2016.

Projects that provide listing forms with or without financing applications will be listed on the FFY 2016 IUP and be eligible to compete for future NYS Water Grant and will also be eligible for DWSRF financing.



APPLICATIONS

Project sponsors who would like to be considered for NYS Water Grant financial assistance must submit a NYS Water Grant Application (same as the DWSRF application) and the following documentation:

- A NYS Water Grant Project Listing Form to DOH
- Engineering Report
- Project Budget
- Project Schedule
- Smart Growth Assessment Form
- Plan of Finance
- Complete DWSRF financing Application if seeking DWSRF funding (for projects currently listed on the 2015 IUP, if not previously submitted)

Although not required at the time of the Listing Form submittal, the following documentation submitted to EFC will be clear demonstrations of project readiness. Applicants are encouraged to submit as many of these documents as possible to EFC by September 4, 2015:

- Bond Resolution (certified)
- District Formation completed (if applicable)
- Environmental Review completed (SHPO review completed; SERP for DWSRF projects; SEQR for non-DWSRF projects)
- Complete DWSRF/ NYS Water Grant Application (if not previously submitted)

All projects will need to submit or have on file a NYS Water Grant Listing Form, an approvable Engineering Report and Smart Growth assessment form by the September 4, 2015 deadline to be considered for NYS Water Grant funding this round. EFC and DOH will review the submitted project listings for completeness and eligibility. Eligible candidate projects will be ranked by public health priority and evaluated for hardship. Candidate projects will be required to submit a Financing Application once NYS Water Grant eligibility and listing have been finalized. Projects that are on the Annual List of the 2016 DWSRF IUP and would like to be considered for grant financial assistance should submit a complete NYS Water Grant Listing Form, a Grant Application and plan of finance as described above. Municipalities that have already submitted a complete DWSRF Application are eligible to apply for the NYS Water Grant by submitting NYS Water Grant Listing Form.

Applicants that do not submit an approvable engineering report or submit an incomplete NYS Water Grant Application will not be processed.

PROJECT EVALUATION

Projects will be evaluated based on the project's reduction of serious public health issues (Public Health Priority), project readiness based on submittal of a complete application,



Department
of Health

Environmental
Facilities Corporation

and hardship with a minimum of 75% of the NYS Water Grant drinking water funds being reserved for hardship projects.

EFC will provide NYS Water Grant offer letters to the qualifying communities up to the overall amount of grant available.

CONTACT US

All questions regarding the NYS Water Grant program and application can be directed to:

Mr. Michael Montysko, P.E.,
Chief of Design, Bureau of Water Supply Protection
New York State Department of Health
Empire State Plaza
Corning Tower Rm. 1135
Albany, New York 12237
(800) 458-1158 ext. 27650 or (518) 402-7650
FAX: (518) 402-7689
E-mail: NYSWaterGrants@efc.ny.gov

Application Form (Publicly-Owned Systems)

I. GENERAL INFORMATION

A. APPLICANT

Name of Applicant: _____ County: _____
 Federal I.D. Number: _____ DUNS Number: _____

Type of Public Ownership: Town Village City County Municipal Authority Public School Other _____

List each project (and its location) for which financing is desired separately in the following table. The DWSRF project number has been assigned by DOH and is listed in the IUP Annual List.

PROJECT LOCATION			
DWSRF Project Number	DWSRF Project Score	Municipal Location (City, Town or Village if different from applicant name)	Special Improvement District (If Applicable)
			US Congress NY Senate NY Assembly

B. FINANCING REQUESTED

Seeking NYS Water Grant Funding Only Yes No

DWSRF Project Number	DWSRF Funding Amount	NYS Water Grant Amount ⁽¹⁾	Interested in Market Rate or Bond Guarantee Financing ⁽²⁾		Construction Dates		Date Financing is Requested	Amount of Any Prior Project Financing
			Yes <input type="checkbox"/>	No <input type="checkbox"/>	Start	Completion		
	\$	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No				\$
	\$	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No				\$
	\$	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No				\$

(1) Limited to 60% of the total project costs or \$2 million whichever is less and must have been determined as eligible by the DOH for NYS Water Grant funding.
 (2) If subsidized financing is not available, you may consider low-cost Short-Term Market-Rate Financing, Long-Term Market-Rate Financing or Long-Term Bond Guarantee. See the IUP for details.